IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Gerard M. Jensen et al.

Title:

LIPOSOMAL FORMULATIONS

Docket No.:

1992.005US1

November 26, 2003

Examiner:

Filed:

Unknown

Serial No.: 10/723,423

Due Date: N/A

Group Art Unit: 1615

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

- \underline{X} A return postcard.
- X A Communication Concerning Related Applications (1 pg.).
- X An Information Disclosure Statement (2 pgs.), Form 1449 (1 pg.), and copies of 4 cited documents.
- X Copy of Search Report from corresponding foreign application no. PCT/US 03/37965 (11 pgs.).

Please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number 21186

Atty: Robert J. Harris Reg. No. 37,346

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 29 day of October, 2004.

Name

Signature '

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

(GENERAL)

<u>PATENT</u>

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INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 et. seq., the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

The attached documents were discovered as a result of a Search Report in Applicants' corresponding foreign patent application. Enclosed for the Examiner's information is a copy of the cited documents and the Search Report.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Information Disclosure Statement considered.

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

The present application is either a U.S. national patent application filed after June 30, 2003 or an international application that entered the national stage under 35 U.S.C. § 371 after June 30, 2003. Thus, Applicant believes that the U.S. Patent & Trademark Office has waived the requirement under 37 C.F.R. 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication. The waiver is provided in a pre-OG notice from the U.S. Patent & Trademark Office entitled "Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003" and dated July 11, 2003. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

Respectfully submitted, GERARD M. JENSEN ET AL.

By their Representatives, SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A. P.O. Box 2938 Minneapolis, MN 55402 (612) 359-3265

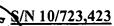
Date 10-29-04

Robert J. Harris Reg. No. 37,346

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Name

Signature



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pplicant:

Gerard M. Jensen et al.

Examiner: Unknown

Serial No.:

10/723,423

Group Art Unit: 1615

Filed:

November 26, 2003

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Title:

LIPOSOMAL FORMULATIONS

COMMUNICATION CONCERNING RELATED APPLICATIONS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Applicants would like to bring to the Examiner's attention the following related applications in the above-identified patent application:

Serial/Patent No.

Filing Date

Attorney Docket

Title

10/723,431

November 26, 2003

1992.007US1

METHOD OF DRUG LOADING IN

LIPOSOMES BY GRADIENT

Respectfully submitted,

GERARD M. JENSEN ET AL.

By Applicants' Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

P.O. Box 2938

Minneapolis, MN 55402

(612) 359-3265

Date 10-29-04

Robert J. Harris

Reg. No. 37,346

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Name

Signature

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and to a coffect on of information unless it continue a voice OA/3 control number. Substitution 1449A/PTO Complete if Known 10/723,423 PATENT STATEMENT BY APPLICANT **Application Number** November 26, 2003 Filing Date (Use as many sheets as necessary) **First Named Inventor** Jensen, Gerard 1615 **Group Art Unit Examiner Name** Unknown Attorney Docket No: 1992.005US1 Sheet 1 of 1

US PATENT DOCUMENTS								
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate		
	US-3,993,754	11/23/1976	Rahman, Yueh-Erh , et al.	514	12	10/09/1974		
	US-4,885,172	12/05/1989	Bally, M. B., et al.	424	417	12/15/1986		
	US-4,938,949	07/03/1990	Borch, Richard F., et al.	514	476	09/12/1988		
	US-5,616,341	04/01/1997	Mayer, L. D., et al.	424	450	08/26/1993		

FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²			
	EP-0290296A2	11/09/1988	Bally, M. B., et al.	A61K	9/50				
	WO-0105372A2	01/25/2001	Abra, R. M., et al.	A61K	9/00				
	WO-8601102A1	02/27/1986	Bally, Marcel B., et al.	A61K	9/60				
	WO-9202244A1	02/20/1992	Chakrabarti, A., et al.	A61K	37/22				

OTHER DOCUMENTS NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			

DATE CONSIDERED EXAMINER